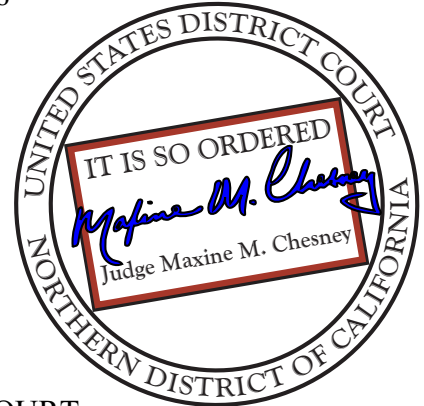


(Counsel of Record are Listed on Next Page)

Dated: September 1, 2006



UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

JEFF BOWMAN AND LAUROS REYES
aka LARRY REYES, individually, and on
behalf of all others similarly situated,

Plaintiffs,

vs.

UBS FINANCIAL SERVICES INC., and
DOES 1 through 50, inclusive,

Defendants.

CASE NO. C-04-03525 MMC

**STIPULATION TO AMEND THE JOINT
STIPULATION OF SETTLEMENT AND
RELEASE BETWEEN PLAINTIFFS JEFF
BOWMAN AND LAUROS REYES AND
DEFENDANT UBS FINANCIAL
SERVICES INC.**

1 M. KIRBY C. WILCOX (SB# 78576)
kirbywilcox@paulhastings.com
2 PAUL, HASTINGS, JANOFSKY & WALKER LLP
55 Second Street
3 Twenty-Fourth Floor
San Francisco, CA 94105-3441
4 Telephone: (415) 856-7000
Facsimile: (415) 856-7100

5 Attorneys for Defendant
6 UBS FINANCIAL SERVICES INC.

7 JAMES F. CLAPP (SB# 145814)
8 DOSTART CLAPP GORDON & COVENEY LLP
4370 La Jolla Village Drive, Suite 970
9 San Diego, CA 92122
Telephone: (858) 623-4200
10 Facsimile: (858) 623-4299

11 Attorneys for Plaintiffs

12 MARK R. THIERMAN (SB# 72913)
13 THIERMAN LAW FIRM P.C.
7287 Lakeside Drive
14 Reno, NV 89511
Telephone: (775) 284-1500
15 Facsimile: (775) 703-5027

16 Attorneys for Plaintiffs

17 H. TIM HOFFMAN (SB# 49141)
18 ARTHUR W. LAZEAR (SB# 83603)
HOFFMAN & LAZEAR
19 180 Grand Avenue, Suite 1550
Oakland, CA 94612
20 Telephone: (510) 763-5700
Facsimile: (510) 835-1311

21 Attorneys for Plaintiffs
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1 Defendant UBS Financial Services Inc (“UBS”) and Plaintiffs Jeff Bowman and
2 Lauros Reyes aka Larry Reyes, individually and on behalf of all others similarly situated, through
3 their respective counsel of record, agree to amend the Joint Stipulation of Settlement and Release.
4 The parties agree to authorize the Claims Administrator to notify Class Members who file
5 defective claims prior to the claim filing deadline that their claims are defective and that they
6 must cure those defects as specified in the Notice. Specifically, the parties stipulate to the
7 incorporation of the following provisions into the Joint Stipulation and Release:

8 1. If any Class Member files a defective claim postmarked before the claim
9 filing deadline of September 22, 2006, then the Claims Administrator shall send a letter (“Cure
10 Letter”) to such Class Member informing them that their claim is defective and must be cured to
11 become valid. The Claims Administrator must postmark the Cure Letter within three (3) business
12 days of receiving a defective claim. The Cure Letter shall state that the Class Member has 15
13 calendar days from the date of the Cure Letter to postmark a revised claim.

14 2. If a Class Member postmarks a revised claim more than 15 calendar days
15 after they receive the Cure Letter, the claim will be invalid and the claim filing deadline will
16 remain September 22, 2006.

17 3. If a Class Member responds to a Cure Letter by filing a defective claim,
18 then the Claims Administrator shall have no further obligation to give notice of a need to cure.
19 Such defective claims will be considered invalid and, if received after September 22, 2006, Class
20 Members have no right to cure them.

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1 4. Nothing in this stipulation otherwise alters the order of this Court
2 providing that the claim filing deadline is September 22, 2006.

3 *September*
4 DATED: ~~August~~ 1, 2006

PAUL, HASTINGS, JANOFSKY & WALKER LLP

5
6 By: *M. Kirby C. Wilcox*
M. KIRBY C. WILCOX

7 Attorneys for Defendant
8 UBS FINANCIAL SERVICES INC.

9
10 DATED: August____, 2006

DOSTART CLAPP GORDON & COVENEY, LLP

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12 By: _____
JAMES F. CLAPP

13 Attorneys for Plaintiffs
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1 4. Nothing in this stipulation otherwise alters the order of this Court
2 providing that the claim filing deadline is September 22, 2006.

3
4 DATED: August __, 2006

PAUL, HASTINGS, JANOFSKY & WALKER LLP

5
6 By: _____
M. KIRBY C. WILCOX

7 Attorneys for Defendant
8 UBS FINANCIAL SERVICES INC.

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10 DATED: August 31, 2006

DOSTART CLAPP GORDON & COVENEY, LLP

11
12 By:  _____
JAMES F. CLAPP

13 Attorneys for Plaintiffs
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